

What to Expect During a Compliance Review

Compliance review means an on-site examination of motor carrier operations, such as drivers' hours of service, maintenance and inspection, driver qualification, commercial driver's license requirements, financial responsibility, accidents, hazardous materials, and other safety and transportation records to determine whether a motor carrier meets the safety fitness standard. A compliance review may be conducted in response to a request to change a safety rating, to investigate potential violations of safety regulations by motor carriers, or to investigate complaints, or other evidence of safety violations. The compliance review may result in the initiation of an enforcement action.

Normally, an appointment will be made to schedule the time of the review. The review will usually last 1-4 days and will take place at your place of business so you will have access to records the officer may ask for. The vehicles will also be inspected for safety violations during the compliance review.

People often ask what kinds of records we will review. Records reviewed will include, but not be limited to, the following Title 49 CFR's:

- Part 380 - Special Training Requirements
- Part 382 – Drug and Alcohol Testing
- Part 383 – CDL
- Part 387 – Insurance
- Part 390 – General Requirements
- Part 391 – Driver Qualification Files
- Part 392 – Local Laws and Rules of the Road
- Part 395 – Hours of Service
- Part 393 & 396 – Equipment and Vehicle Maintenance Records
- Part 397 – Hazardous Materials (if applicable)

All companies including owner/operators, operating commercial vehicles in interstate or intrastate commerce, with terminals in Washington State, are required to keep these records and produce the documents when requested by authorized agents of the Washington State Patrol.

Our goal is to ensure that commercial vehicle operators have basic safety management practices in place. The long-term goal is to reduce truck-related crashes and to ensure that carriers are hiring the safest drivers possible and maintaining safe vehicles.

Compliance review auditors are trained in all of the regulations, and can answer questions to many different questions.